

Substitute for 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	1	Complete if Known
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Sheet	1	of	1	Attorney Docket Number
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s). Volume-Issue Number(s), publisher, city and/or country where published.	T
	27	Brealey and Myers (Eds.), "Principles of Corporate Finance", McGraw-Hill Book Company, New York, 1981, pages 127 and 440	
	28	Chance (Ed.), "An Introduction to Derivatives", Third Edition, Harcourt Brace College Publishers, November 1994, Chapter 1, page 7	
	29	Elton and Gruber (Eds.), "Modern Portfolio Theory and Investment Analysis", Fifth Edition, John Wiley & Sons, New York, 1995, Chapter 13, page 301 and Chapter 22, page 589	
	30	Hull (Ed.), "Opinions, Futures and other Derivatives", Fifth Edition, Prentice Hall, 2002, Chapter 12, pages 244 and 245	

Examiner Signature	/Kenneth Bartley/	Date Considered
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11/30/2010

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /K.B./